17 MAR 2006

FORM PTO-1390 (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 127244

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

|   |  | ESIGNATED/ELECTED OF  | New U.S. National Stage of PCT/CH2004/000582    |   |  |  |  |  |  |  |
|---|--|---|---|---|--|--|--|--|--|--|
| CONCERNING A FILING UN  |  |   | DER 35 U.S.C. 371                               | 10/572510   |  |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO. PCT/CH2004/000582   |  |   | INTERNATIONAL FILING DATE<br>September 15, 2004 | PRIORITY DATE CLAIMED<br>September 17, 2003             |  |  |  |  |  |  |
|   | TITLE OF INVENTION WATERCRAFT PROVIDED WITH TWO FLOATING BODIES STACKED ON TOP OF EACH OTHER |   |   |   |  |  |  |  |  |  |
|   |  | T FOR DO/EO/US<br>//UELLER  |   |   |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |  |   |   |   |  |  |  |  |  |  |
| 1. 5  | $\boxtimes$  | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.  |   |   |  |  |  |  |  |  |
| 2.  |  | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.   |   |   |  |  |  |  |  |  |
| 3. ,  | ⊠  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |  |
| 4.  | $\boxtimes$  | The US has been elected (Article 3  | 31).  |   |  |  |  |  |  |  |
| 5.  | $\boxtimes$  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |   |  |  |  |  |  |  |
|   |  | a.  is attached hereto (required  | only if not communicated by the In              | ternational Bureau).                                    |  |  |  |  |  |  |
|   |  | b. 🛮 has been communicated by   | the International Bureau.                       |   |  |  |  |  |  |  |
|   |  | c.  is not required, as the application   | cation was filed in the United States           | Receiving Office (RO/US).                               |  |  |  |  |  |  |
| 6.  | $\boxtimes$  | An English language translation of  | the International Application as file           | d (35 U.S.C. 371(c)(2))                                 |  |  |  |  |  |  |
|   |  | a. 🛛 is attached hereto.  |   |   |  |  |  |  |  |  |
|   |  | b.  has been previously submitted   | ted under 35 U.S.C. 154(d)(4).                  |   |  |  |  |  |  |  |
|   |  | c.  The International Application   | n was filed in English.                         |   |  |  |  |  |  |  |
| 7.  |  | Amendments to the claims of the Ir  | nternational Application under PCT              | Article 19 (35 U.S.C. 371(c)(3))                        |  |  |  |  |  |  |
|   | •  | a.   are attached hereto (require   | ed only if not communicated by the              | International Bureau).                                  |  |  |  |  |  |  |
|   |  | b.  have been communicated b  | y the International Bureau.                     |   |  |  |  |  |  |  |
|   | •  | c.  have not been made; however   | ver, the time limit for making such a           | mendments has NOT expired.                              |  |  |  |  |  |  |
|   |  | d.  have not been made and wi   | Il not be made.                                 |   |  |  |  |  |  |  |
| 8.  |  | An English language translation of  | the amendments to the claims und                | er PCT Article 19 (35 U.S.C. 371(c)(3)).                |  |  |  |  |  |  |
| 9.  | $\boxtimes$  | An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).   |   |   |  |  |  |  |  |  |
| 10.   |  | An English language translation of (35 U.S.C. 371(c)(5)).   | the annexes of the International Pr             | eliminary Examination Report under PCT Article 36       |  |  |  |  |  |  |
| Item  | s 11 t   | o 20 below concern document(s)  | or information included:                        |   |  |  |  |  |  |  |
| 11,   |  | An Information Disclosure Stateme   | ent under 37 CFR 1.97 and 1.98.                 |   |  |  |  |  |  |  |
| 12.   |  | An assignment document for recor  | ding. A separate cover sheet in co              | mpliance with 37 CFR 3.28 and 3.31 is included.         |  |  |  |  |  |  |
| 13.   | $\boxtimes$  | A preliminary amendment.  |   |   |  |  |  |  |  |  |
| 14.   | $\boxtimes$  | An Application Data Sheet under 3   | 7 CFR 1.76.                                     |   |  |  |  |  |  |  |
| 15.   | $\boxtimes$  | A substitute specification. (Clean a  | and Marked-up versions)                         |   |  |  |  |  |  |  |
| 16.   |  | A power of attorney and/or change   | of address letter.                              |   |  |  |  |  |  |  |
| 17.   |  | A computer-readable form of the se  | equence listing in accordance with              | PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.              |  |  |  |  |  |  |
| 18.   |  | A second copy of the published into   | ernational application under 35 U.S             | c.C. 154(d)(4).   |  |  |  |  |  |  |
| 19.   |  | A second copy of the English langu  | uage translation of the international           | application under 35 U.S.C. 154(d)(4).                  |  |  |  |  |  |  |
| 20.   | $\boxtimes$  | Other items or information: a copy Inventorship.  | of the International Search Report              | is attached hereto. The Declaration is a Declaration of |  |  |  |  |  |  |

| U.S. APRUCATION NO. (if known, New U.S. National) Stage of PCT/CH2004/000582   | see 37 C-E-R. 1.5)                             | ATTORNEY'S DOCKET NUMBER 127244                       |                           |                               |                       |  |  |  |  |  |
|--|--|---|---------------------------|-------------------------------|-----------------------|--|--|--|--|--|
| 21.  The following fees  |  |   | CALCULATIONS              | PTO USE ONLY                  |                       |  |  |  |  |  |
| 21. 23 1110 101101111119 1000  | , are easimmer.                                |   |                           |                               |                       |  |  |  |  |  |
|  |  |   |                           |                               |                       |  |  |  |  |  |
| BASIC NATIONAL FEE (3  |  |   | \$ 300.00                 | \$300.00                      |                       |  |  |  |  |  |
| SEARCH FEE (37 CFR 1.4   | 92(b)(1)-(3)):                                 |   |                           | \$400.00                      |                       |  |  |  |  |  |
| International preliminary ex<br>the USPTO as IPEA or IS/<br>industrial applicability for al<br>national stage  | A and favorable as to<br>I claims presented in |   |                           |                               |                       |  |  |  |  |  |
| International search fee (37   | ' CFR 1.445(a)(2)) p                           |   |                           |                               |                       |  |  |  |  |  |
| International search report the search fee is paid   |  |   |                           |                               |                       |  |  |  |  |  |
| All situations not provided f  | or above                                       |   | \$ 500.00                 |                               |                       |  |  |  |  |  |
| <b>EXAMINATION FEE (37 C</b>   |  | <del></del>   |                           | \$200.00                      |                       |  |  |  |  |  |
|  |  |   |                           |                               |                       |  |  |  |  |  |
| International preliminary ex the USPTO as IPEA or ISA  | amination report or v<br>A and favorable as to | vritten opinion prepared<br>novelty, inventive ster   | d by<br>o, and            |                               |                       |  |  |  |  |  |
| industrial applicability for al  | I claims presented in                          | the application entering                              | ng the                    |                               |                       |  |  |  |  |  |
| national stage   |  |   | \$ 0.00                   |                               |                       |  |  |  |  |  |
| All situations not provided f  | or above                                       |   | \$ 200.00                 |                               |                       |  |  |  |  |  |
| Surcharge of \$130.00 for fu   | rnishing the search                            | fee, the examination fe                               | e or the oath or          | \$                            |                       |  |  |  |  |  |
| declaration after the date o   | f commencement of                              | the national stage (37                                | CFR 1.492(h)).            |                               |                       |  |  |  |  |  |
| TOTAL PAGES OF<br>APPLICATION OVER<br>100 (20 - 100)   | 0 ÷ 50   | = †0  | x 250 =                   | \$                            | į                     |  |  |  |  |  |
| tround up to next integer  | r  | -1  |                           |                               |                       |  |  |  |  |  |
| CLAIMS   | NUMBER FILED                                   | NUMBER EXTRA  | RATE                      | \$                            |                       |  |  |  |  |  |
| TOTAL CLAIMS   | 9 - 20   | = 0   | x 50.00 =                 | \$                            |                       |  |  |  |  |  |
| INDEPENDENT CLAIMS   | 1 - 3  | = 0   | x 200.00 =<br>+ 360.00 =  | \$<br>\$                      |                       |  |  |  |  |  |
| MULTIPLE DEPENDENT   |  | TOTAL OF ABOVE (                                      |                           | \$900.00                      |                       |  |  |  |  |  |
| Applicant claims small   |  |   |                           | \$450.00                      |                       |  |  |  |  |  |
| reduced by ½.  |  |   | SUBTOTAL =                | \$450.00                      |                       |  |  |  |  |  |
| Processing fee of \$130.00   | for furnishing the En                          | glish translation later th                            |                           | \$                            |                       |  |  |  |  |  |
| the earliest claimed priority  | date (37 CFR 1.492                             | (i)).   |                           |                               |                       |  |  |  |  |  |
|  | 1 : 4 (0.7                                     |   | NATIONAL FEE =            | \$450.00                      |                       |  |  |  |  |  |
| Fee for recording the enclo  | sed assignment (37<br>riate cover sheet (37    | CFR 1.21(n)). The ass<br>CFR 3.28, 3.31). <b>\$40</b> | .00 per property +        | \$                            |                       |  |  |  |  |  |
| •  | · · · · · · · · · · · · · · · · · · ·          |   | ES ENCLOSED =             | \$450.00                      |                       |  |  |  |  |  |
| **   |  |   |                           | Amount to be                  |                       |  |  |  |  |  |
|  |  |   |                           | refunded:                     | \$                    |  |  |  |  |  |
| •  |  |   |                           | charged:                      | \$                    |  |  |  |  |  |
|  |  |   |                           |                               |                       |  |  |  |  |  |
| b.   |  | ivo in the amou                                       | iur or \$ to cover        | the above tees. A du          | iplicate copy of this |  |  |  |  |  |
| Deposit Accour   |  |   |                           |                               |                       |  |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |   |                           |                               |                       |  |  |  |  |  |
| NOTE: Where an appro   | nriata tima limit                              | der 37 CFR 1.495 has                                  | not been met a ret        | tion to revive /27 CE         | D 1 127(3) 0" (h))    |  |  |  |  |  |
|  |  | e the application to p                                |                           |                               | ., J. (a) or (b))     |  |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:  |  |   |                           |                               |                       |  |  |  |  |  |
| OLIFF & BERRIDGE, PLC  |  |   |                           |                               |                       |  |  |  |  |  |
| Customer Numbe   | r: 25944                                       |   | NAMÉ: Jame<br>REGISTRATIO | s A. Oliff<br>ON NUMBER: 27,0 | 75                    |  |  |  |  |  |
| Date <u>March 17, 2006</u>   |  | M. Schulte ON NUMBER: 44,325                          |                           |                               |                       |  |  |  |  |  |
|  |  |   | •                         |                               |                       |  |  |  |  |  |
|  |  |   |                           |                               |                       |  |  |  |  |  |

## IAP20 Rec'd FCTIFTO 17 MAR 2006

| U.S. APPLICATION NO. (if known, s<br>New U.S. National Stage, of<br>PCT/CH2004(600582)  | see 37 C.F.R. 1.5)   | ATTORNEY'S DOCKET NUMBER<br>127244 |                       |                       |                                       |  |  |  |  |
|---|--|------------------------------------|-----------------------|-----------------------|---------------------------------------|--|--|--|--|
| 21.  The following fees   |  |                                    |                       | CALCULATIONS          | PTO USE ONLY                          |  |  |  |  |
|   |  | ·                                  |                       |                       |                                       |  |  |  |  |
| ·   |  |                                    |                       | 400000                |                                       |  |  |  |  |
| BASIC NATIONAL FEE (37  |  |                                    | \$ 300.00             | \$300.00<br>\$400.00  |                                       |  |  |  |  |
| SEARCH FEE (37 CFR 1.49   | 32(D)(1)-(3)):   |                                    |                       | \$400.00              |                                       |  |  |  |  |
| International preliminary exa<br>the USPTO as IPEA or ISA<br>industrial applicability for all<br>national stage   | and favorable as to<br>claims presented in                         |                                    | ·                     |                       |                                       |  |  |  |  |
| International search fee (37  | International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA |                                    |                       |                       |                                       |  |  |  |  |
| International search report p<br>the search fee is paid   |  |                                    |                       |                       |                                       |  |  |  |  |
| All situations not provided for   | or above   |                                    | \$ 500.00             |                       |                                       |  |  |  |  |
| EXAMINATION FEE (37 CF  | R 1.492(c)(1)-(2)):  |                                    |                       | \$200.00              |                                       |  |  |  |  |
| International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage  |  |                                    |                       |                       |                                       |  |  |  |  |
| All situations not provided for<br>Surcharge of \$130.00 for fur  |  |                                    |                       | \$                    |                                       |  |  |  |  |
| declaration after the date of   | commencement of  | the national stage (37             | CFR 1.492(h)).        |                       |                                       |  |  |  |  |
| TOTAL PAGES OF<br>APPLICATION OVER<br>100 (20 - 100)  | 0 ÷ 50   | = †0                               | x 250 =               | \$                    | / ////                                |  |  |  |  |
| tround up to next integer   |  | AHIMPED EVEDA                      | DATE                  | · ·                   |                                       |  |  |  |  |
| CLAIMS TOTAL CLAIMS   | NUMBER FILED<br>9 - 20   | NUMBER EXTRA<br>= 0                | RATE<br>x 50.00 =     | \$                    |                                       |  |  |  |  |
| INDEPENDENT CLAIMS  | 1- 3   | = 0                                | x 200.00 =            | \$                    |                                       |  |  |  |  |
| MULTIPLE DEPENDENT C  | LAIM(S)(if applicab  | le)                                | + 360.00 =            | \$                    |                                       |  |  |  |  |
|   |  | CALCULATIONS =                     | \$900.00              |                       |                                       |  |  |  |  |
| Applicant claims small e reduced by ½.  | entity status. See 3   | 7 CFR 1.27. The fees               | indicated above are   | \$450.00              |                                       |  |  |  |  |
|   |  |                                    | SUBTOTAL =            | \$450.00              |                                       |  |  |  |  |
| Processing fee of \$130.00 for the earliest claimed priority of the | or furnishing the End<br>date (37 CFR 1.492                        | ?(i)).                             |                       | \$                    |                                       |  |  |  |  |
| Fee for recording the enclos  |  |                                    | NATIONAL FEE =        | \$450.00              | · · · · · · · · · · · · · · · · · · · |  |  |  |  |
| accompanied by an appropr   | riate cover sheet (37  | 7 CFR 3.28, 3.31). <b>\$40</b>     | .00 per property +    | \$                    |                                       |  |  |  |  |
| .9  |  | TOTAL FE                           | EES ENCLOSED =        | \$450.00              |                                       |  |  |  |  |
|   |  |                                    |                       | Amount to be          |                                       |  |  |  |  |
| ;   |  |                                    |                       | refunded:             | \$                                    |  |  |  |  |
|   | 12 in the amount of  | \$450.00 to cover the a            | bove fees is enclosed | charged:              | Φ                                     |  |  |  |  |
| b.   Please charge n  | <ul> <li>a.</li></ul>  |                                    |                       |                       |                                       |  |  |  |  |
| c. Significant The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.   |  |                                    |                       |                       |                                       |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  |  |                                    |                       |                       |                                       |  |  |  |  |
| NOTE: Where an approx   | oriate time limit un   | der 37 CFR 1.495 has               | not been met, a peti  | tion to revive (37 CF | R 1.137(a) or (b))                    |  |  |  |  |
| must be filed and   | d granted to restor  | e the application to p             |                       |                       |                                       |  |  |  |  |
| SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC   |  |                                    |                       |                       |                                       |  |  |  |  |
| Customer Number: 25944 NAME: James A. Oliff   |  |                                    |                       |                       |                                       |  |  |  |  |
| REGISTRATION NUMBER: 27,075   |  |                                    |                       |                       |                                       |  |  |  |  |
| Date March 17, 2006 NAME: Scott M. Schulte REGISTRATION NUMBER: 44,325  |  |                                    |                       |                       |                                       |  |  |  |  |
|   |  |                                    |                       |                       |                                       |  |  |  |  |